



**AGENDA**  
**Board of Directors Meeting**

**4065 4th Ave. NE**  
**Seattle, WA**

**1086 Alcatraz Ave.**  
**Oakland, CA**

**2688 Becard Ct.**  
**Pleasanton, CA**

**985 N Michillinda Ave., #207**  
**Pasadena, CA**

**December 8, 2016**  
**6:00 PM**

*Vision & Mission*

*Yu Ming Charter School will provide a challenging and comprehensive education for Kindergarten through 8<sup>th</sup> grade students, preparing them to be inquisitive and analytic lifelong learners in the 21<sup>st</sup> Century world. Our mission is:*

- *To provide an academically rigorous college preparatory program*
- *To graduate students with bilingual and biliterate skills in Mandarin-Chinese and English*
- *To nurture intellectual curiosity, international perspective and diligence in attaining personal goals*
- *To develop young people with compassion, sound moral character and a sense of responsibility for the community and the environment*

**I. Preliminary**

**A. CALL TO ORDER**

**B. ROLL CALL**

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**REASONABLE LIMITATIONS MAY BE PLACED ON PUBLIC TESTIMONY**

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**C. APPROVAL OF AGENDA**

**II. INVITATION TO THE PUBLIC TO ADDRESS THE BOARD**

**III. CONSENT AGENDA** (5 mins)

- A. Approve November 2016 minutes
- B. Branding/Website Project Information
- C. Facilities Update
- D. Educational Committee update

**IV. ITEMS OF BUSINESS**

- A. Principal's Report (Principal Park, 15 minutes)
- B. Current Financials Update, Approval of 15-16 Audit, Approval of First Interim Financial Report 16-17, and Approval of EPA Resolution and Spending Plan (Kelly Ellis, 30 minutes)
  - 1. The Board will receive a current financials update and details on the 2015-2016 audit from Kelly Ellis, EdTec
- C. 2017 Calendar Year Officers
  - 1. The Board will discuss preparations for Officers for 2017 calendar year (D. Lee, B. Swartz, 10 minutes)
- D. Enrollment and Diversity Committee: (P. Le, 10 minutes)
  - 1. The Board will receive an update on the Enrollment and Diversity Committee
- E. New Board Members (D. Lee, 10 minutes)
  - 1. Board to discuss and vote on potential board member Julie Mikuta

**V. REVIEW OF ACTION ITEMS AND FUTURE AGENDA ITEMS**

**VI. ADJOURNMENT**

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The meeting was adjourned at \_\_\_\_\_.

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**MINUTES**  
**Board of Directors Meeting**  
**November 17, 2016**  
**6:00 PM**

**Dial in Location**

**1500 4th Avenue  
Seattle, WA 98101**

**In Person Location**

**Yu Ming Charter School  
1086 Alcatraz Ave.  
Oakland, CA 94618**

*Vision & Mission*

*Yu Ming Charter School will provide a challenging and comprehensive education for Kindergarten through 8<sup>th</sup> grade students, preparing them to be inquisitive and analytic lifelong learners in the 21<sup>st</sup> Century world. Our mission is:*

- *To provide an academically rigorous college preparatory program*
- *To graduate students with bilingual and biliterate skills in Mandarin-Chinese and English*
- *To nurture intellectual curiosity, international perspective and diligence in attaining personal goals*
- *To develop young people with compassion, sound moral character and a sense of responsibility for the community and the environment*

**I. Preliminary**

**A. CALL TO ORDER**

The meeting was called to order at 6:15 p.m.

**B. ROLL CALL**

NAME	PRESENT	ABSENT
Diana Lee	X	

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Joy Lee	X	
Phuoc Le	X	
Matt Miller		X
Jessica Norman	X	
Thompson Paine		X
Eric Peterson	X	
Rodrigo Prudencio	X	
Brianna Swartz	X	
John Wharton		X

**C. APPROVAL OF AGENDA**

Motion to Approve: Agenda

Motion, Seconded, Vote: Unanimous

NAME	YES	NO	ABSTAIN
Diana Lee	X		
Joy Lee	X		
Phuoc Le	X		
Matt Miller			

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Jessica Norman	X		
Thompson Paine			
Eric Peterson	X		
Rodrigo Prudencio	X		
Brianna Swartz	X		
John Wharton			

**II. INVITATION TO THE PUBLIC TO ADDRESS THE BOARD**

**III. CONSENT AGENDA (5 mins)**

- A.** Approve September 2016 minutes
- B.** Review September Check Register
- C.** Update on Education Committee

Approval of the Consent Agenda (Oct 2016 Minutes, Oct Check Register):

Motion to Approve: Agenda  
 Motion, Seconded, Vote: Unanimous

NAME	YES	NO	ABSTAIN
Diana Lee	X		
Joy Lee	X		
Phuoc Le	X		

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Matt Miller			
Jessica Norman	X		
Thompson Paine			
Eric Peterson	X		
Rodrigo Prudencio	X		
Brianna Swartz	X		
John Wharton			

**I. ITEMS OF BUSINESS**

A. Principal’s Report (Principal Park, 15 minutes)

1. Principal update and FSO report

B. Monthly Financials: (J. Norman, 15 minutes)

1. The Board is looking for members to volunteer to review the 2015-16 audit **Rodrigo, Brianna and Joy have volunteered to review. Jessica will email Kelly @ EdTec to let her know and cc board members to schedule review.**

2. Review current financial status **Continue regular reviews highlighting changes with more efficient version from EdTec. Changes seen are \$28K higher net income from revenue (changed assumption for ADA) and historical fundraising coming in higher than forecast. Attrition is not usually an issue for funding due to waitlist (except for 6th grade). Special Ed expenses coming in higher than forecast and want to better understand this since costs can grow easily in this area; currently due to staff/consultants due to more cost in**

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salaries, less in contract funding. Not a lot of cash available right now but will come in as year progresses with funding. Follow-up question on whether legal expenses in this budget.

3. Prop 39 Energy Contract with First Note Finance (Voting Item) **First Note gave overview of program that gives schools funds for energy saving usage by meeting certain criteria. Contracts do not state what happens if we move facilities - we need to be in the facility noted in contract when we receive the funding though.**

Motion to Approve: Engaging First Note Finance in Prop 39 Funding contract.

Motion by: Brianna Swartz

Seconded by: Phuoc Le

Vote: Unanimous

NAME	YES	NO	ABSTAIN
Diana Lee	X		
Joy Lee	X		
Phuoc Le	X		
Matt Miller			
Jessica Norman	X		
Thompson Paine			
Eric Peterson	X		
Rodrigo Prudencio	X		
Brianna Swartz	X		
John Wharton			

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C. Enrollment and Diversity Committee: (P. Le, 20 minutes)

1. The Board will vote on amendments to the enrollment policy language consistent with changes approved at the October 2016 board meeting. **At Oct meeting board voted on new enrollment policy. Since last meeting board has been in touch with ACOE and Sue has discussed process on how to change the policy. Meeting scheduled for December 13th to approve this change to policy and charter (two way to one way immersion).**

Motion to Approve: Final language for revised change to enrollment policy.

Motion by: Jessica Norman

Seconded by: Brianna Swartz

Vote: Unanimous

NAME	YES	NO	ABSTAIN
Diana Lee	X		
Joy Lee	X		
Phuoc Le	X		
Matt Miller			
Jessica Norman	X		
Thompson Paine			
Eric Peterson	X		
Rodrigo Prudencio	X		
Brianna Swartz	X		
John Wharton			

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2. The Board will vote on amendments to the Yu Ming Charter specific to the change from a two-way immersion language model to a one-way immersion language model. **(Voting Item)**

Motion to Approve: Amend charter with regards to enrollment and request ACOE to approve on 12/13/16.

Motion by: Eric Peterson

Seconded by: Brianna Swartz

Vote: Unanimous

NAME	YES	NO	ABSTAIN
Diana Lee	X		
Joy Lee	X		
Phuoc Le	X		
Matt Miller			
Jessica Norman	X		
Thompson Paine			
Eric Peterson	X		
Rodrigo Prudencio	X		
Brianna Swartz	X		
John Wharton			

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3. Update on discussions with ACOE regarding the process for obtaining their approval of the Yu Ming Board’s changes to enrollment policy

D. Facilities Committee: (R. Prudencio, 30 minutes) **Met on 11/9 and submitted Prop 39 app to OUSD, accepted as complete. Working behind the scenes to find if there are other facilities for in lieu of agreement (our current arrangement for Herzog). As county-wide school we apply to OUSD bc we have the most students in this district; can only apply to one district for this agreement. Still looking into Emeryville but challenging, Diocese option is being explored, also exploring CA College of the Arts (massive facility).**

1. The Board will discuss and vote on a contract with John Loh, Realtor in regards to securing a new facility **(Voting Item) Terms and fees are normal but need to carve out where we have had discussions before or related to public school districts where we would not need his services for the transaction or have an agent we are already talking to.**

Motion to Approve: Engage in contract with John Loh (realtor) for facilities search.

Motion by: Joy Lee

Seconded by: Eric Peterson

Vote: Unanimous

NAME	YES	NO	ABSTAIN
Diana Lee	X		
Joy Lee	X		
Phuoc Le	X		
Matt Miller			
Jessica Norman	X		
Thompson Paine			

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Eric Peterson	X		
Rodrigo Prudencio	X		
Brianna Swartz	X		
John Wharton			

2. Overall update and discussion on engaging JoAnn Koplin as a facilities search consultant (discussion only) **JoAnn has previously worked with Yu Ming to secure Alcatraz & Herzog and prepping and readiness supervisor of the sites (happened within 6 mo of each other). Need to discuss amending budget to allow for this, she has more availability now to engage after completing some other projects. She can help with identifying facilities and assessing them vs our requirements (not realtor services). This work was previously done by Bob but we need someone to be working on this in a more committed way/FT (thinking of this like engaging EdTec for finances). Outstanding items are Rodrigo to ask her about conflict of interest with other schools. May not need to vote on this items since fits within Sue’s discretionary budget. Public comment: Need to communicate with parents because there is anxiety about this and situation for next year. Will discuss this further on how to do this.**

E. Board Governance: (D. Lee, 20 minutes)

1. Board survey results and discussion **Reviewed results - key takeaways are to develop a better process for strategic planning, better onboarding & succession planning. Want to also work on diversity of ethnic background for board, size of board is standard and effective. Find ways to spend more time on strategic items by putting more items in consent agenda if possible to consolidate and also stating things more clearly in intro memos so discussion can be reduced. Want to better understand what ‘ideal’ board meeting looks like and what we can do to get closer to that - efficiency, quick updates with fewer deep dives/one committee update per meeting. Potentially use annual retreat to discuss strategic planning. Board turnover is another concern - Diana trying to contact former members for exit interviews.**

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2. Board candidate: Julie Mikuta (informational) **Julie is extremely experienced and would be a great addition to board. Is a parent at Yu Ming (but would not occupy one of the parent spots). She has met some board members and will continue to meet others. Goal to have a vote in December and have her start in January. Phuoc will have a follow up conversation with her.**

3. Board candidate: Ron Lewis (informational) **Referral through Thompson; interested in working on diversity in enrollment. In early phases of getting to know different board members; aim to put on a timeline to approve in January.**

F. Playworks Contract: (Principal Park, 5 minutes)

1. Review and vote on a new revised Playworks contract **(Voting Item) Offset by change to Music teacher budget.**

Motion to Approve: New revised Playworks contract.

Motion by: Brianna Swartz

Seconded by: Phuoc Le

Vote: Unanimous

NAME	YES	NO	ABSTAIN
Diana Lee	X		
Joy Lee	X		
Phuoc Le	X		
Matt Miller			
Jessica Norman	X		
Thompson Paine			

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Eric Peterson	X		
Rodrigo Prudencio	X		
Brianna Swartz	X		
John Wharton			

II. **REVIEW OF ACTION ITEMS AND FUTURE AGENDA ITEMS**

III. **ADJOURNMENT**

The meeting was adjourned at 8:45 pm.

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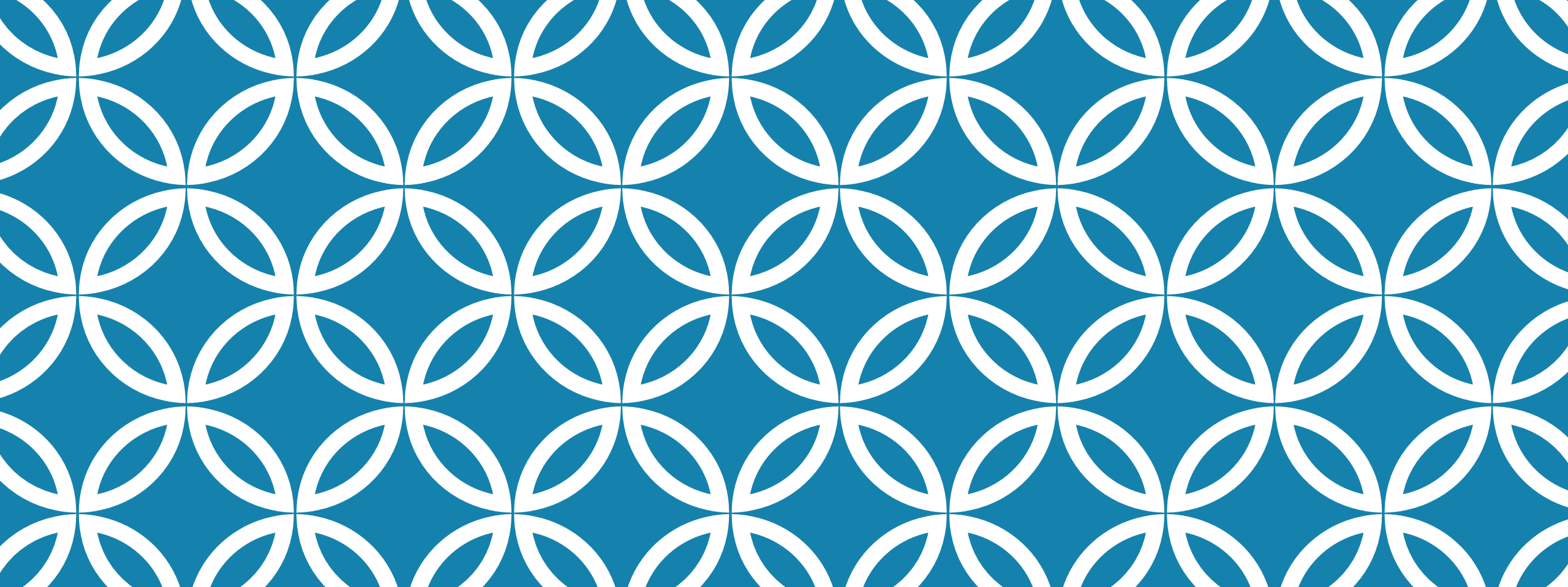
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# **YU MING BRANDING & WEBSITE WORK PLAN**

November 2016

# BACKGROUND & OBJECTIVES

## **Background:**

Yu Ming has not updated its branding and website since the school was chartered. As a primary touchpoint for key audiences – potential staff, families, donors and the public – the look/feel as well as the content should be updated to better meet the needs of these groups. In addition, the current website is cumbersome for updating and a more user-friendly platform would improve operation.

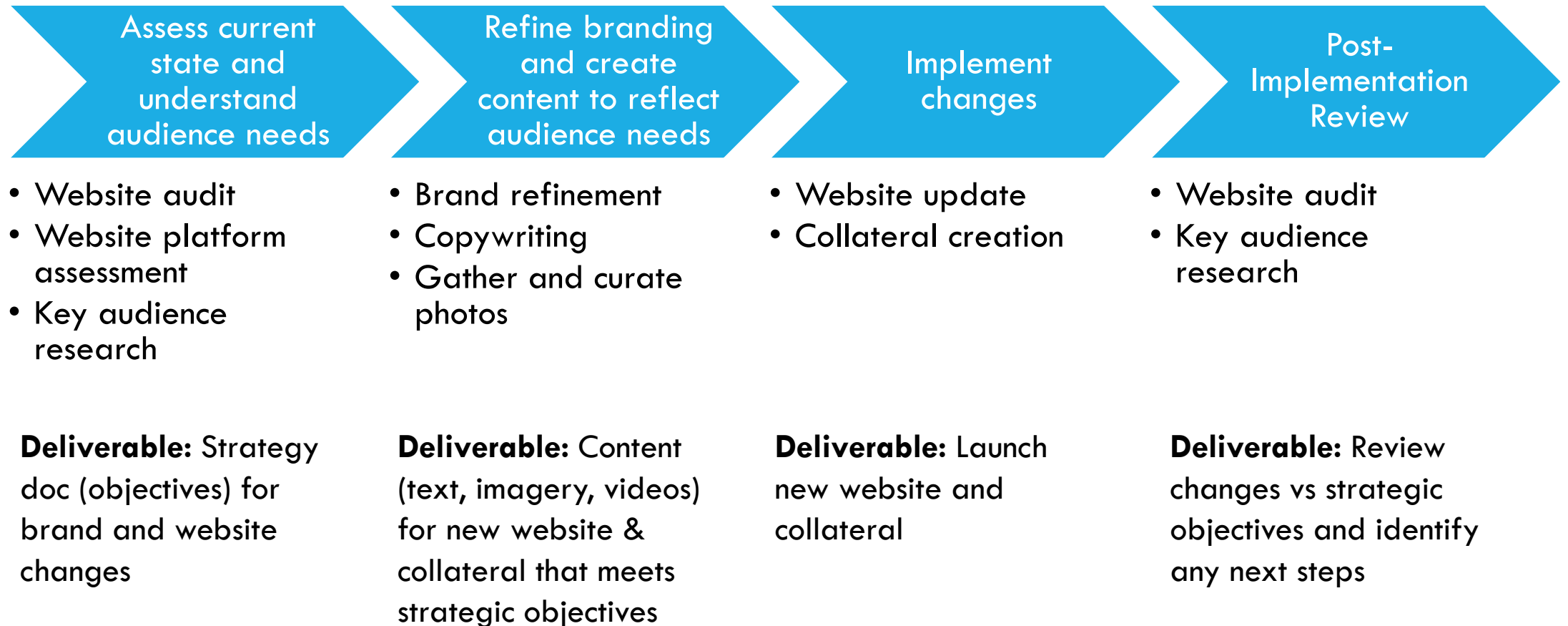
## **Project Objective:**

Refresh and standardize Yu Ming's communication touchpoints (website, print assets, portals, etc.) to more clearly and effectively communicate to stakeholders (prospective and current families, staff, donors, community) the school's unique brand and values that make it a great place for students and staff.

Primary focus will be on external communication, particularly the website for attracting prospective staff, families and donors. Secondary focus will be on internal communication with current community and other vehicles (e.g. print brochures for visitors, standard forms for internal and external notices, etc.)



# PROJECT WORKFLOW



# WORKSTREAMS — ASSESS & UNDERSTAND

Overall project will be managed by Joy Lee and Om Chitale with support from Sue Park and the Board

Step	Workstream	Description	Output	Lead
Assess & Understand	Website audit	Assess current Yu Ming website for <u>non-technical</u> aspects (branding, design, usability, content, mobile responsiveness) in comparison to 'competitive' websites; design workshop or collecting hypotheses on changes	Initial assessment of website design and content and preliminary list of proposed areas of improvement	Hunter (Nick)
Assess & Understand	Website platform assessment	Assess <u>technical</u> aspects of website performance in comparison to alternate platforms	Recommendation and rationale on what platform to use going forward along with costs	Hunter (Nick)
Assess & Understand	Key audience research	Understand needs of key audiences (prospective staff, families, donors) through market research	Insights on what experience and content key audiences desire when engaging with an organization like Yu Ming	Joy

# WORKSTREAMS — REFINE & CREATE

Overall project will be managed by Joy Lee and Om Chitale with support from Sue Park and the Board

Step	Workstream	Description	Output	Lead
Refine & Create	Brand refinement (assumption that logo will <u>not</u> change)	Lead group exercise to ideate on adjusting brand Mission & Values wording to reflect needs of key audiences	Refined wording (and ideas for imagery) for website and other collateral that represents the Yu Ming brand	Diana (Team, Workshop – Joy, copywriting - Sarah)
Refine & Create	Copywriting	Write new copy for the website to reflect new branding & needs of key audiences (will also be used for other collateral)	Copy for website (all pages) and other collateral	Sarah (Lucia, \$ for professional copywriter)
Refine & Create	Gather and curate photos	Select platform for photo repository, collect and organize photos from parents/school community members, select photos for website and collateral	New imagery for website and other collateral + photo repository for future projects; find archivist & photo editor for ongoing maintenance	Hunter (\$ for professional photographer or parent volunteer)

# WORKSTREAMS — IMPLEMENT

Overall project will be managed by Joy Lee and Om Chitale with support from Sue Park and the Board

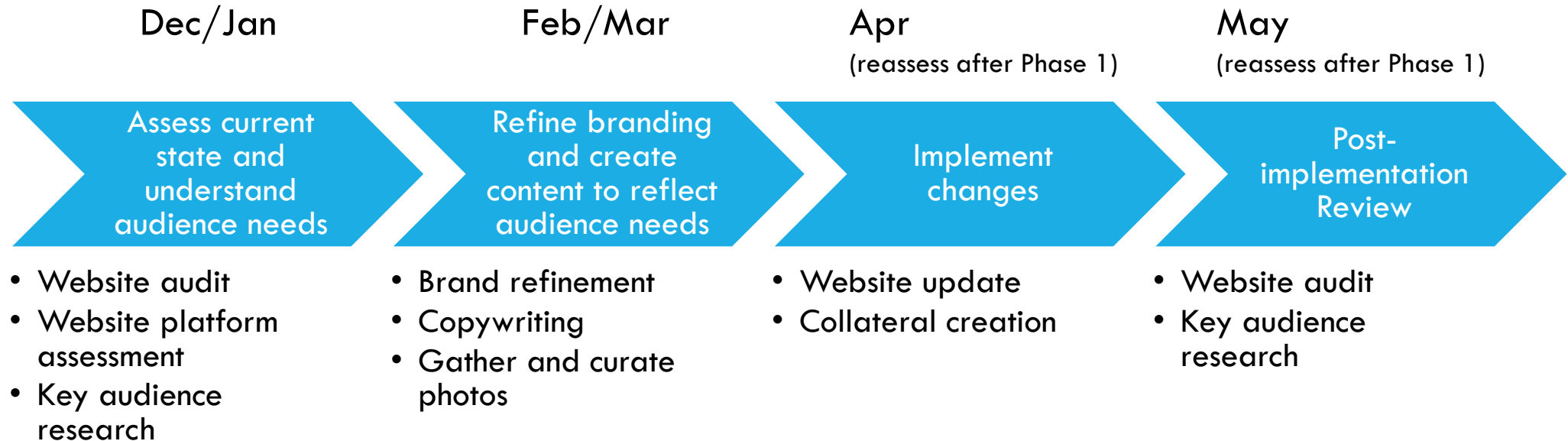
Step	Workstream	Description	Output	Lead
Implement	Website update	Update website with new content generated from previous phase (+platform update as-needed)	New website!	Karen/Nick/David (Jackie)
Implement	Collateral creation	Create new (non-website) collateral (e.g. brochures, 1 pagers for public, templates, etc.)	New collateral!	Diana

# WORKSTREAMS — POST-IMPLEMENTATION REVIEW

Overall project will be managed by Joy Lee and Om Chitale with support from Sue Park and the Board

Step	Workstream	Description	Output	Lead
Post-implementation Review	Website audit	Assess new website against established criteria (qualitative and website metrics)	Document that reviews changes vs strategic objectives established in first phase and compares website KPIs vs post launch	Hunter (Team)
Post-implementation Review	Key audience research	Qualitative research to understand consumer response to changes vs original version	Document to compare key audience response for pre- vs post-implementation	Joy

# TENTATIVE TIMELINE



Some workstreams/tasks that are not dependent on other workstreams can be started in advance (e.g. evaluating different photo platforms and gathering photos); will try to complete brand refinement in Jan/early Feb timeframe due to Gala



**Board of Directors  
December 8, 2016  
AGENDA ITEM INFORMATION**

<b>Agenda Item</b>	Facilities: Update for the Board
<b>Time Allotted</b>	Consent Agenda
<b>Background</b>	The Facilities Committee is charged with searching for, evaluating and securing a site for Yu Ming Charter School to address its short and long term needs.
<b>Summary</b>	<p>Ms. JoAnn Koplin’s contract was signed and member Prudencio, Antonio Lao (facilities committee, architect and YM parent) and Principal Park had an initial meeting to begin scoping Ms. Koplin’s work. Ms Koplin will begin holding weekly calls with the committee. Also discussed were strategies to approach OUSD and EUSD about potential sites. We will begin holding weekly calls with Ms. Koplin.</p> <p>Ms. Koplin is helping with a final review of Mr. Loh’s real-estate agent contract.</p> <p>OUSD’s office of charter schools replied to YM’s Prop 39 petition and informed YM that it had no objections to the Prop 39 request and to the population projections contained therein.</p>
<b>Type</b>	Informational
<b>Key Questions</b>	

# Yu Ming Charter School

## Financial Presentation



December 12, 2016

Kelly Hoppe Ellis



# Financial Presentation Agenda



- 15-16 Audit
- Special Ed Update
- 1<sup>st</sup> Interim Report
- EPA Spending Plan and Resolution

# 15-16 Audit

# 2015-16 Audit Recap

Audit is unqualified, with financial information confirming what was reported to School's board in the Unaudited Actuals



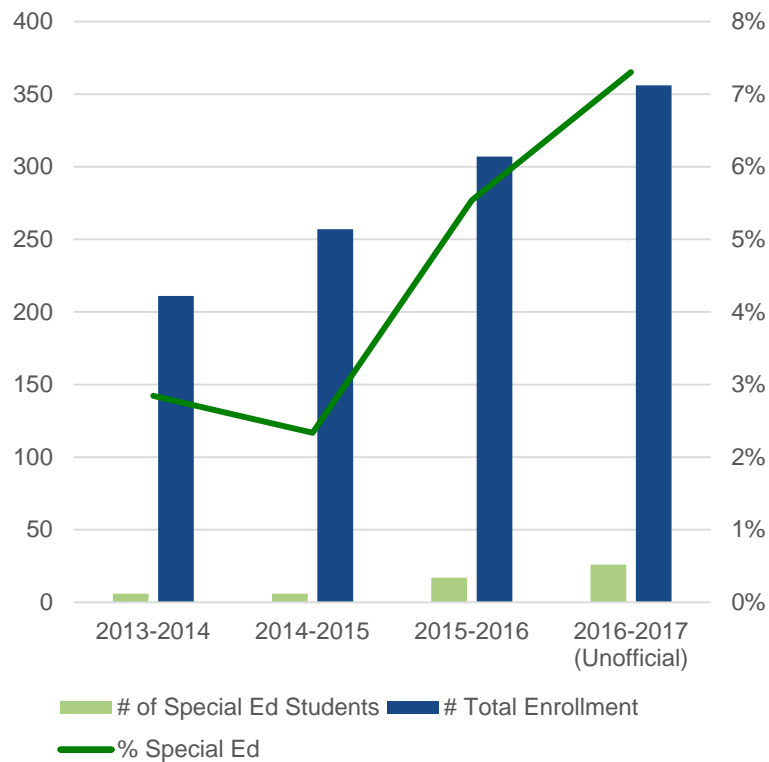
- Final 2015-16 Income Statement:
  - \$3.64M in Revenues, \$3.67M in Expenses
  - Operating Income of \$267K
  - Ending Fund Balance of \$851K
- Adjustments from Unaudited Actuals:
  - Moved \$34K from prepaid expenditures to accounts payable
  - Moved \$410 from accrued accounts payable to income statement
  - Net adjustments and reclassifications of \$411
- No weaknesses present in Internal Controls, Compliance and Other Matters
- No findings found

# Special Ed Update

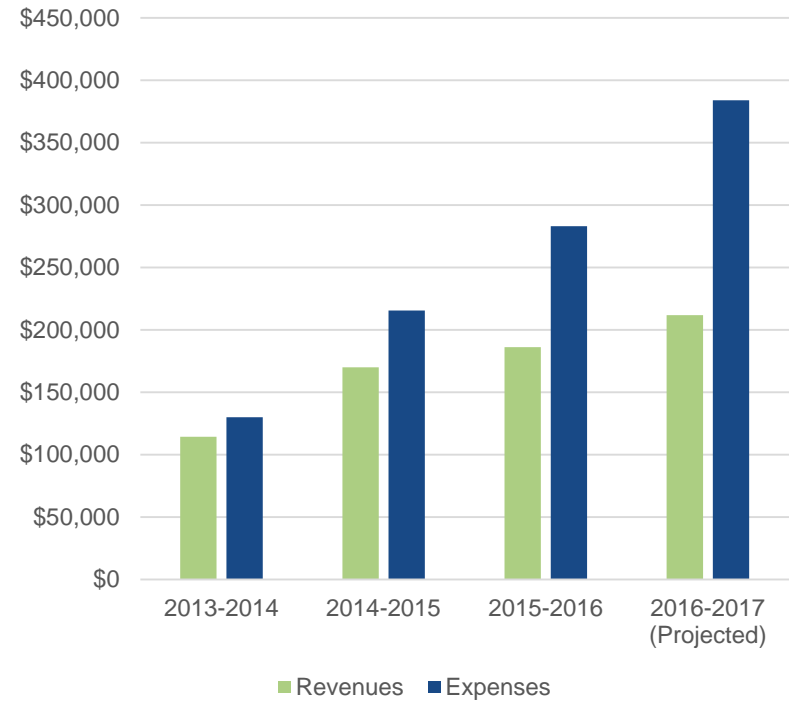
# Special Education



### Special Ed Population



### Special Education Revenues & Expenses



# 1<sup>st</sup> Interim Report

# 2016-17 First Interim Report



- **16-17 FY First Interim Budget**
  - The cutoff for the First Interim reporting period is October 31, 2016
  - Funding rates were estimated using the FCMAT LCFF calculator
    - 16-17 FY - \$7,603 per ADA
- **Multi-year projections (17-18 FY and 18-19 FY)**
  - Funding rates:
    - 17-18 FY - \$7,834 per ADA
    - 18-19 FY - \$7,940 per ADA
  - Enrollment projections:
    - 17-18 FY – 408 (393.5 ADA)
    - 18-19 FY – 461 (444.38 ADA)
  - Fundraising per student remains reduced from \$1200 to \$800 per student in MYP
  - Compensation and benefits – 3% COLA increase assumed
    - Added 2 teachers and 2 aides in 17-18 FY and 2 teachers and 2 aides in 18-19 FY
  - Books and Supplies – cost per student is mostly consistent with 16-17 FY with 3% inflation adjustment
  - Services and Other Operating Expenses – costs are consistent with 16-17 FY with 3% inflation adjustment

# Education Protection Act

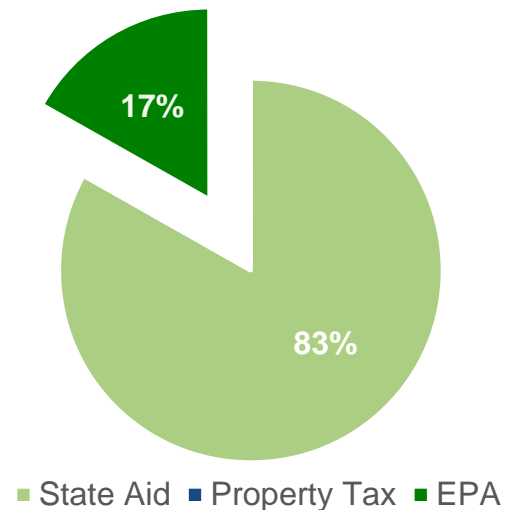


# Education Protection Account

## Requesting board approval on resolution and spending plan



- Source of funding created by Proposition 30 (2012)
- Composes a portion of LCFF funds
- Must be spent on instruction – not administration
- Yearly compliance requirements to receive funds:
  - Resolution
  - Spending plan
  - PY actuals (Must be posted to website)
- Resolutions and spending plans provided as attachments for board approval
  - Recommend 100% spent on teacher comp



**YU MING CHARTER SCHOOL**

**Independent Auditor's Report  
and Financial Statements  
For the Year Ended  
June 30, 2016**

DRAFT

**YU MING CHARTER SCHOOL**

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## INDEPENDENT AUDITOR'S REPORT

Board of Directors  
Yu Ming Charter School  
Oakland, CA

### **Report on the Financial Statements**

We have audited the accompanying financial statements of Yu Ming Charter School (the School), a California nonprofit public benefit corporation, which comprise the statement of financial position as of June 30, 2016, and the related statements of activities, cash flows and functional expenses for the year then ended, and the related notes to the financial statements.

### **Management's Responsibility for the Financial Statements**

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### **Auditors' Responsibility**

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Board of Directors  
Yu Ming Charter School

## **Opinion**

In our opinion, the financial statements referred to on page one present fairly, in all material respects, the financial position of the School as of June 30, 2016, and the changes in its net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

## **Other Matters**

### *Supplementary Information*

Our audit was conducted for the purpose of forming an opinion on the School's financial statements as a whole. The accompanying supplementary schedules are presented for purposes of additional analysis and are not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated, in all material respects, in relation to the financial statements as a whole.

### **Other Reporting Required by Government Auditing Standards**

In accordance with *Government Auditing Standards*, we have also issued a report dated November 8, 2016, on our consideration of the School's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the School's internal control over financial reporting and compliance.

VICENTI, LLOYD & STUTZMAN LLP  
Glendora, CA  
November 8, 2016

**YU MING CHARTER SCHOOL**

**STATEMENT OF FINANCIAL POSITION**

**June 30, 2016**

**ASSETS**

**CURRENT ASSETS:**

Cash and cash equivalents	\$ 524,866
Accounts receivable - federal and state	328,780
Accounts receivable - other	93,980
Prepaid expenses and other assets	<u>61,311</u>
Total current assets	<u>1,008,937</u>

**LIABILITIES AND NET ASSETS**

**CURRENT LIABILITIES:**

Accounts payable and accrued liabilities	\$ <u>158,325</u>
Total current liabilities	<u>158,325</u>

**NET ASSETS:**

Unrestricted	800,343
Temporarily restricted net assets	<u>50,269</u>
Total net assets	<u>850,612</u>
Total liabilities and net assets	<u>\$ 1,008,937</u>

*The accompanying notes are an integral part of these financial statements.*

**YU MING CHARTER SCHOOL**

**STATEMENT OF ACTIVITIES  
For the Year Ended June 30, 2016**

	<u>Unrestricted</u>	<u>Temporarily Restricted</u>	<u>Total</u>
<b>REVENUES:</b>			
State revenue:			
State aid	\$ 2,177,958	\$ -	\$ 2,177,958
Other state and federal revenues	542,541		542,541
Federal revenue:			
Grants and entitlements	32,201	-	32,201
Local revenue:			
Contributions	434,005	-	434,005
Other revenue	448,930	-	448,930
Total revenues	<u>3,635,635</u>	<u>-</u>	<u>3,635,635</u>
<b>EXPENSES:</b>			
Program services	2,729,479	-	2,729,479
Management and general	640,504	-	640,504
Total expenses	<u>3,369,983</u>	<u>-</u>	<u>3,369,983</u>
Change in net assets	265,652	-	265,652
Beginning net assets	534,691	50,269	584,960
Ending net assets	<u>\$ 800,343</u>	<u>\$ 50,269</u>	<u>\$ 850,612</u>

*The accompanying notes are an integral part of these financial statements.*

**YU MING CHARTER SCHOOL**

**STATEMENT OF CASH FLOWS  
For the Year Ended June 30, 2016**

**CASH FLOWS from OPERATING ACTIVITIES:**

Change in net assets	\$ 265,652
Adjustments to reconcile change in net assets to net cash flows from operating activities:	
Depreciation	13,756
Change in operating assets:	
Accounts receivable - federal and state	(13,739)
Accounts receivable - other	(93,980)
Prepaid expenses and other assets	(20,582)
Change in operating liabilities:	
Accounts payable and accrued liabilities	<u>(22,421)</u>
Net cash flows from operating activities	<u>128,686</u>

**CASH FLOWS from FINANCING ACTIVITIES:**

Repayments of debt	<u>(62,498)</u>
Net cash flows from financing activities	<u>(62,498)</u>

Net change in cash and cash equivalents	66,188
Cash and cash equivalents at the beginning of the year	<u>458,678</u>
Cash and cash equivalents at the end of the year	<u>\$ 524,866</u>

**SUPPLEMENTAL INFORMATION:**

Cash paid for interest	<u>\$ 173</u>
------------------------	---------------

*The accompanying notes are an integral part of these financial statements.*



**YU MING CHARTER SCHOOL**

**STATEMENT OF FUNCTIONAL EXPENSES  
For the Year Ended June 30, 2016**

	<b>Program Services</b>	<b>Management and General</b>	<b>Total Expenses</b>
Salaries and wages	\$ 1,609,294	\$ 64,095	\$ 1,673,389
Pension expense	137,665	-	137,665
Other employee benefits	58,085	74,462	132,547
Payroll taxes	58,351	7,770	66,121
Legal Fees	42,052		42,052
Books and supplies	183,957	20,624	204,581
Travel and conferences	3,220	-	3,220
Dues and memberships	610	-	610
Depreciation	-	13,756	13,756
Communications	10,348	2,587	12,935
Operation and housekeeping services	22,980	32,437	55,417
Rentals, leases and repairs	10,568	171,162	181,730
Professional/ consulting services and operating expenditures	592,349	188,272	780,621
Direct support/ indirect charges	-	65,339	65,339
	<u>\$ 2,729,479</u>	<u>\$ 640,504</u>	<u>\$ 3,369,983</u>

*The accompanying notes are an integral part of these financial statements.*

**YU MING CHARTER SCHOOL**

**NOTES TO THE FINANCIAL STATEMENTS  
For the Year Ended June 30, 2016**

**NOTE 1: SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

***Nature of Activities*** – The mission of Yu Ming Charter School (the School) is to provide a challenging and comprehensive education for Kindergarten through 5<sup>th</sup> grade students, preparing them to be inquisitive and analytic lifelong learners in the 21st century. The faculty, staff, and other stakeholders want to provide an academically rigorous college preparatory program and have graduate students with bilingual and biliterate skills in Mandarin Chinese and English. Also, they are dedicated to nurturing intellectual curiosity, international perspective, and diligence in attaining personal goals, and developing young people with compassion, sound moral character, and a sense of responsibility for the community and the environment. Yu Ming Charter School is a California non-profit public benefit corporation funded principally through State of California public education monies received through the California Department of Education.

Alameda County Office of Education (the County) has granted the charter through 2021. The charter may be revoked by the County for material violations of the charter, failure to meet student outcomes identified in the charter, failure to meet generally accepted standards of fiscal management, or violation of any provision of the law.

***Cash and Cash Equivalents*** – The School defines its cash and cash equivalents to include only cash on hand, demand deposits, and liquid investments with original maturities of three months or less.

***Use of Estimates*** – The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets, liabilities, and disclosures. Accordingly, actual results could differ from those estimates.

***Basis of Accounting*** – The financial statements have been prepared on the accrual method of accounting and accordingly reflect all significant receivables and liabilities.

***Functional Allocation of Expenses*** – Costs of providing the School's programs and other activities have been presented in the statement of functional expenses. During the year, such costs are accumulated into separate groupings as either direct or indirect. Indirect or shared costs are allocated among program and support services by a method that best measures the relative degree of benefit.

***Basis of Presentation*** – The accompanying financial statements have been prepared in conformity with accounting principles generally accepted in the United States as prescribed by the Financial Accounting Standards Board.

## YU MING CHARTER SCHOOL

### NOTES TO THE FINANCIAL STATEMENTS For the Year Ended June 30, 2016

#### **NOTE 1: SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

**Net Asset Classes** – The School is required to report information regarding its financial position and activities according to three classes of net assets: unrestricted, temporarily restricted, and permanently restricted. Net assets of the School are defined as:

- Unrestricted: All resources over which the governing board has discretionary control to use in carrying on the general operations of the School.
- Temporarily restricted: These net assets are restricted by donors to be used for specific purposes. The School currently has temporarily restricted net assets of \$50,269 consisting of unspent Clean Energy funding.
- Permanently restricted: These net assets are permanently restricted by donors and cannot be used by the School. The School currently has no permanently restricted net assets.

**Receivables** – Accounts receivable primarily represent amounts due from federal and state governments as of June 30, 2016. Management believes that all receivables are fully collectible, therefore no provisions for uncollectible accounts were recorded.

**Revenue Recognition** – Amounts received from the California Department of Education are recognized as revenue by the School based on the average daily attendance (ADA) of students. Revenue that is restricted is recorded as an increase in unrestricted net assets if the restriction expires in the reporting period in which the revenue is recognized. All other restricted revenues are reported as increases in temporarily restricted net assets.

**Property, Plant and Equipment** – Property, plant and equipment are stated at cost if purchased or at estimated fair market value if donated. Depreciation is provided on a straight-line basis over the estimated useful lives of the asset.

**Contributions** – All contributions are considered to be available for unrestricted use unless specifically restricted by the donor. Amounts received that are restricted to specific use or future periods are reported as temporarily restricted. Restricted contributions that are received and released in the same period are reported as unrestricted revenue.

**Income Taxes** – The School is a non-profit entity exempt from the payment of income taxes under Internal Revenue Code Section 501(c)(3) and California Revenue and Taxation Code Section 23701d. Accordingly, no provision has been made for income taxes. Management has determined that all income tax positions are more likely than not of being sustained upon potential audit or examination; therefore, no disclosures of uncertain income tax positions are required. The School files informational returns in the U.S. federal jurisdiction, and the state of California. The statute of limitations for federal and California state purposes is generally three and four years, respectively.

**Evaluation of Subsequent Events** – The School has evaluated subsequent events through November 8, 2016, the date these financial statements were available to be issued.

**YU MING CHARTER SCHOOL**

**NOTES TO THE FINANCIAL STATEMENTS  
For the Year Ended June 30, 2016**

**NOTE 2: CONCENTRATION OF CREDIT RISK**

The School maintains cash balances held in banks and revolving funds which are insured up to \$250,000 by the Federal Depository Insurance Corporation (FDIC). At times, cash in these accounts exceeds the insured amounts. The School has not experienced any losses in such accounts and believes it is not exposed to any significant credit risk on its cash and cash equivalents.

**NOTE 3: EMPLOYEE RETIREMENT**

**Multi-employer Defined Benefit Pension Plans**

Qualified employees are covered under multi-employer defined benefit pension plans maintained by agencies of the State of California.

The risks of participating in these multi-employer defined benefit pension plans are different from single-employer plans because: (a) assets contributed to the multi-employer plan by one employer may be used to provide benefits to employees of other participating employers, (b) the required member, employer, and state contribution rates are set by the California Legislature, and (c) if the School chooses to stop participating in the multi-employer plan, it may be required to pay a withdrawal liability to the plan. The School has no plans to withdraw from this multi-employer plan.

**State Teachers' Retirement System (STRS)**

**Plan Description**

The School contributes to the State Teachers' Retirement System (STRS), a cost-sharing multi-employer public employee retirement system defined benefit pension plan administered by STRS. Plan information for STRS is not publicly available. The plan provides retirement, disability and survivor benefits to beneficiaries. Benefit provisions are established by State statutes, as legislatively amended, within the State Teachers' Retirement Law. According to the most recently available Comprehensive Annual Financial Report and Actuarial Valuation Report for the year ended June 30, 2015, total plan net assets are \$181 billion, the total actuarial present value of accumulated plan benefits is \$242 billion, contributions from all employers totaled \$2.55 billion, and the plan is 68.5% funded. The School did not contribute more than 5% of the total contributions to the plan.

Copies of the STRS annual financial reports may be obtained from STRS, 7667 Folsom Boulevard, Sacramento, CA 95826 and [www.calstrs.com](http://www.calstrs.com).

YU MING CHARTER SCHOOL

NOTES TO THE FINANCIAL STATEMENTS  
For the Year Ended June 30, 2016

**NOTE 3: EMPLOYEE RETIREMENT**

**Funding Policy**

Active plan members hired before December 31, 2012 are required to contribute 9.20% of their salary and those hired after are required to contribute 8.56% of their salary. The School is required to contribute an actuarially determined rate. The actuarial methods and assumptions used for determining the rate are those adopted by the STRS Teachers' Retirement Board. Under the 2014 funding plan, employer contributions on compensation creditable to the program will increase every year for the next seven years, up to 19.10% in 2020–21. The required employer contribution rate for year ended June 30, 2016 was 10.73% of annual payroll. The contribution requirements of the plan members are established and may be amended by State statute.

The School's contributions to STRS for the past three years are as follows:

Year Ended June 30,	Required Contribution	Percent Contributed
2014	\$ 46,872	100%
2015	\$ 82,462	100%
2016	\$ 137,665	100%

**NOTE 4: OPERATING LEASES**

The School entered into a lease agreement with the Archdiocese of Oakland for a facility in Oakland, California. Lease expense under the agreement for the year ended June 30, 2016 was \$127,308. Lease payments are expected to end in the year 2018 for the amount of \$157,308.

On August 1, 2014, the school entered into a lease agreement for a facility with OUSD and it was amended through June 30, 2017. Lease expense under this agreement for the year ended June 30, 2016 was \$37,000.

Future operating lease payments are as follows:

Year Ended June 30,	
2017	\$ 197,513
2018	157,308
Total	<u>\$ 354,821</u>

**YU MING CHARTER SCHOOL**

**NOTES TO THE FINANCIAL STATEMENTS  
For the Year Ended June 30, 2016**

**NOTE 5: PROPERTY, PLANT, AND EQUIPMENT**

Property, plant, and equipment in the accompanying financial statements is represented net of accumulated depreciation. The School capitalizes all expenditures in excess of \$5,000. Depreciation expense was \$13,756 for the year ended June 30, 2016.

The components of property, plant, and equipment as of June 30, 2016 are as follows:

Leasehold improvements	\$ 14,420
Equipment	10,800
Less accumulated depreciation	<u>(25,220)</u>
Total	<u><u>\$ -</u></u>

**NOTE 6: CONTINGENCIES**

The School has received state and federal funds for specific purposes that are subject to review and audit by the grantor agencies. Although such audits could generate disallowances under terms of the grants, it is believed that any required reimbursement would not be material.

**SUPPLEMENTARY INFORMATION**

DRAFT

**YU MING CHARTER SCHOOL**

**LOCAL EDUCATION AGENCY ORGANIZATION STRUCTURE  
For the Year Ended June 30, 2016**

The School was established in August 2011 when it was granted its charter through Alameda County Office of Education (ACOE) and its charter school status from the California Department of Education. The charter may be revoked by the county authorizer for material violations of the charter, failure to meet or make progress toward student outcomes, failure to meet generally accepted standards of fiscal management, or violation of any provision of the law.

The charter school number is: 1296

The Board of Directors and the Administrator as of June 30, 2016 were as follows:

**BOARD OF DIRECTORS**

<b><u>Member</u></b>	<b><u>Office</u></b>	<b><u>Term Expires (3 years)</u></b>
Rodrigo Prudencio	Board Chair	June 2019
Diana Lee	Vice Chair	October 2016
Thomas Paine	Secretary	June 2018
Eric Petersen	Member	June 2017
Matt Miller	Member	June 2018
Wai-Kiu Lee	Member	August 2018
Phuoc Le	Member	June 2018
Josh Stern	Member	August 2018
Robert Donnelly	Member	June 2017
John Wharton	Member	June 2018
Bob Donnelly	Member	June 2016
Reichi Lee	Member	August 2016

**ADMINISTRATOR**

Sue Park                      Principal



**YU MING CHARTER SCHOOL**

**SCHEDULE OF INSTRUCTIONAL TIME  
For the Year Ended June 30, 2016**

	<b>Instructional Minutes</b>		<b>Days</b>	<b>Status</b>
	<b>Requirement</b>	<b>Actual</b>		
Kindergarten	36,000	65,610	190	In compliance
Grade 1	50,400	59,750	190	In compliance
Grade 2	50,400	59,750	190	In compliance
Grade 3	50,400	59,750	190	In compliance
Grade 4	54,000	61,260	190	In compliance
Grade 5	54,000	61,260	190	In compliance

*See auditor's report and the notes to the supplementary information.*

**YU MING CHARTER SCHOOL**

**SCHEDULE OF AVERAGE DAILY ATTENDANCE  
For the Year Ended June 30, 2016**

	<u>Second Period Report</u>		<u>Annual Report</u>	
	<b>Classroom</b>		<b>Classroom</b>	
	<u>Based</u>	<u>Total</u>	<u>Based</u>	<u>Total</u>
Grades TK/K-3	202.40	204.53	202.92	204.63
Grades 4-6	<u>93.56</u>	<u>94.32</u>	<u>94.04</u>	<u>94.63</u>
ADA Totals	<u><u>295.96</u></u>	<u><u>298.85</u></u>	<u><u>296.96</u></u>	<u><u>299.26</u></u>

DRAFT

*See auditor's report and the notes to the supplementary information.*

**YU MING CHARTER SCHOOL**

**RECONCILIATION OF ANNUAL FINANCIAL REPORT  
WITH AUDITED FINANCIAL STATEMENTS  
For the Year Ended June, 2016**

June 30, 2016 Annual Financial Report Fund Balances (Net Assets)	\$ 850,201
Adjustments and Reclassifications:	
Increasing (Decreasing) the Fund Balance (Net Assets):	
Prepaid expenses and other assets	(35,052)
Accounts payable and accrued liabilities	<u>35,463</u>
Net Adjustments and Reclassifications	<u>411</u>
June 30, 2016 Audited Financial Statement Fund Balances (Net Assets)	<u><u>\$ 850,612</u></u>

*See auditor's report and the notes to the supplementary information.*

**YU MING CHARTER SCHOOL**

**NOTES TO THE SUPPLEMENTARY INFORMATION  
For the Year Ended June 30, 2016**

**NOTE 1 – PURPOSE OF SCHEDULES**

**Schedule of Instructional Time**

This schedule presents information on the amount of instructional time offered by the School and whether the School complied with the provisions of the Education Code.

**Schedule of Average Daily Attendance**

Average daily attendance is a measurement of the number of pupils attending classes of the School. The purpose of attendance accounting from a fiscal standpoint is to provide the basis on which apportionments of state funds are made to charter schools. This schedule provides information regarding the attendance of students at various grade levels.

**Reconciliation of Annual Financial Report with Audited Financial Statements**

This schedule provides the information necessary to reconcile the net assets of the charter schools as reported on the Annual Financial Report form to the audited financial statements.

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**INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL OVER  
FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS  
BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED  
IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS***

Board of Directors  
Yu Ming Charter School  
Oakland, CA

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of Yu Ming Charter School (the School), a nonprofit California public benefit corporation, which comprise the statement of financial position as of June 30, 2016, and the related statements of activities, cash flows and functional expenses for the year then ended, the related notes to the financial statements, and have issued our report thereon dated November 8, 2016.

**Internal Control Over Financial Reporting**

In planning and performing our audit of the financial statements, we considered the School's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the School's internal control. Accordingly, we do not express an opinion on the effectiveness of the School's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect and correct misstatements on a timely basis. A material weakness is a deficiency or a combination of deficiencies in internal control such that there is a reasonable possibility that a material misstatement of the financial statements will not be prevented or detected and corrected on a timely basis. A significant deficiency is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

**INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL OVER  
FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS  
BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED  
IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS***

**Compliance and Other Matters**

As part of obtaining reasonable assurance about whether the School's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of non-compliance or other matters that are required to be reported under *Government Auditing Standards*.

**Purpose of this Report**

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

VICENTI, LLOYD & STUTZMAN LLP  
Glendora, CA  
November 8, 2016



## INDEPENDENT AUDITOR'S REPORT ON STATE COMPLIANCE

Board of Directors  
Yu Ming Charter School  
Oakland, CA

We have audited Yu Ming Charter School's (the School) compliance with the types of compliance requirements described in the *2015-2016 Guide for Annual Audits of K-12 Local Education Agencies and State Compliance Reporting*, published by the Education Audit Appeals Panel for the year ended June 30, 2016. The School's State compliance requirements are identified in the table below.

### Management's Responsibility

Management is responsible for the compliance with the State laws and regulations as identified below.

### Auditor's Responsibility

Our responsibility is to express an opinion on the School's compliance based on our audit of the types of compliance requirements referred to below. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America, the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, and the *2015-2016 Guide for Annual Audits of K-12 Local Education Agencies and State Compliance Reporting*, published by the Education Audit Appeals Panel. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the specific areas listed below has occurred. An audit includes examining, on a test basis, evidence about the School's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion on state compliance. Our audit does not provide a legal determination of the School's compliance.

### Compliance Requirements Tested

In connection with the audit referred to above, we selected and tested transactions and records to determine the School's compliance with the laws and regulations applicable to the following items:

<u>Description</u>	<u>Procedures Performed</u>
School Districts, County Offices of Education, and Charter Schools:	
Educator Effectiveness	Yes
California Clean Energy Jobs Act	No <sup>1</sup>
After School Education and Safety Program	Not applicable
Proper Expenditure of Education Protection Account Funds	Yes

## INDEPENDENT AUDITOR’S REPORT ON STATE COMPLIANCE

<u>Description</u>	<u>Procedures Performed</u>
Unduplicated Local Control Funding Formula Pupil Counts	Yes
Local Control and Accountability Plan	Yes
Independent Study-Course Based	Not Applicable
Immunizations	Yes
Charter Schools:	
Attendance	Yes
Mode of Instruction	Yes
Nonclassroom-based instructional/independent study	No <sup>2</sup>
Determination of funding for nonclassroom-based instruction	Not applicable
Annual instructional minutes – classroom based	Yes
Charter School Facility Grant Program	Not applicable

<sup>1</sup>The School had no expenditures of California Clean Energy Jobs Act funding during the year June 30, 2016.

<sup>2</sup>We did not perform testing for independent study because the independent study ADA was under the level which requires testing.

### Opinion on State Compliance

In our opinion, the School complied with the laws and regulations of the state programs referred to above in all material respects for the year ended June 30, 2016.

### Purpose of this Report

The purpose of this report on state compliance is solely to describe the results of testing based on the requirements of the *2015-2016 Guide for Annual Audits of K-12 Local Education Agencies and State Compliance Reporting*, published by the Education Audit Appeals Panel. Accordingly, this report is not suitable for any other purpose.

VICENTI, LLOYD & STUTZMAN LLP  
 Glendora, CA  
 November 8, 2016



**YU MING CHARTER SCHOOL**

**SCHEDULE OF FINDINGS AND QUESTIONED COSTS  
For the Year Ended June 30, 2016**

All audit findings must be identified as one or more of the following twelve categories:

<u>Five Digit Code</u>	<u>Finding Types</u>
10000	Attendance
20000	Inventory of Equipment
30000	Internal Control
40000	State Compliance
42000	Charter School Facilities Program
50000	Federal Compliance
60000	Miscellaneous
61000	Classroom Teacher Salaries
62000	Local Control Accountability Plan
70000	Instructional Materials
71000	Teacher Misassignments
72000	School Accountability Report Card

There were no findings and questioned costs related to the basic financial statements or state awards for June 30, 2016.

**YU MING CHARTER SCHOOL**

**STATUS OF PRIOR YEAR FINDINGS AND QUESTIONED COSTS  
For the Year Ended June 30, 2016**

There were no findings and questioned costs related to the basic financial statements or state awards for the prior year.

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**CHARTER SCHOOL  
INTERIM FINANCIAL REPORT - ALTERNATIVE FORM  
First Interim Report - Detail**

Charter School Name: Yu Ming Charter School  
(continued)  
CDS #: 01 10017 0124172  
Charter Approving Entity: ACOE  
County: Alameda  
Charter #: 1296  
Fiscal Year: 2016/17

This charter school uses the following basis of accounting:

- Accrual Basis** (Applicable Capital Assets / Interest on Long-Term Debt / Long-Term Liabilities objects are 6900, 7438, 9400-9499, and 9660-9669)  
 **Modified Accrual Basis** (Applicable Capital Outlay / Debt Service objects are 6100-6170, 6200-6500, 7438, and 7439)

Description	Object Code	Adopted Budget - July 1			Actuals thru 10/31			1st Interim Budget		
		Unrestricted	Restricted	Total	Unrestricted	Restricted	Total	Unrestricted	Restricted	Total
<b>A. REVENUES</b>										
1. LCFF/Revenue Limit Sources										
State Aid - Current Year	8011	2,160,646.04	-	2,160,646.04	477,177.00	-	477,177.00	2,179,198.99	-	2,179,198.99
Education Protection Account State Aid - Current Year	8012	436,512.96	-	436,512.96	94,021.00	-	94,021.00	440,019.01	-	440,019.01
Charter Schools Gen. Purpose Entitlement - State Aid	8015	-	-	-	-	-	-	-	-	-
State Aid - Prior Years	8019	-	-	-	-	-	-	-	-	-
Tax Relief Subventions	8020-8039	-	-	-	-	-	-	-	-	-
County and District Taxes	8040-8079	-	-	-	-	-	-	-	-	-
Miscellaneous Funds	8080-8089	-	-	-	-	-	-	-	-	-
LCFF/Revenue Limit Transfers:										
PERS Reduction Transfer	8092	-	-	-	-	-	-	-	-	-
Charter Schools Funding in lieu of Property Taxes	8096	-	-	-	-	-	-	-	-	-
Other LCFF/Revenue Limit Transfers	8091, 8097	-	-	-	-	-	-	-	-	-
Total, LCFF/Revenue Limit Sources		2,597,159.00	-	2,597,159.00	571,198.00	-	571,198.00	2,619,218.00	-	2,619,218.00
2. Federal Revenues										
No Child Left Behind	8290	-	-	-	-	-	-	-	-	-
Special Education - Federal	8181, 8182	-	37,355.00	37,355.00	-	-	-	38,500.00	-	38,500.00
Child Nutrition - Federal	8220	-	-	-	-	-	-	-	-	-
Donated Food Commodities	8221	-	-	-	-	-	-	-	-	-
Other Federal Revenues	8110, 8260-8299	-	-	-	-	-	-	-	-	-
Total, Federal Revenues		-	37,355.00	37,355.00	-	-	-	38,500.00	-	38,500.00
3. Other State Revenues										
Charter Schools Categorical Block Grant (8480 N/A thru 14/15-SBX3-4)	N/A thru 14/15	-	-	-	-	-	-	-	-	-
Special Education - State	StateRevSE	-	172,588.80	172,588.80	-	41,266.00	41,266.00	-	173,286.02	173,286.02
All Other State Revenues	StateRevAO	255,681.79	-	255,681.79	2,008.00	-	2,008.00	251,852.60	-	251,852.60
Total, Other State Revenues		255,681.79	172,588.80	428,270.59	2,008.00	41,266.00	43,274.00	251,852.60	173,286.02	425,138.62
4. Other Local Revenues										
All Other Local Revenues	LocalRevAO	758,425.70	-	758,425.70	504,776.06	-	504,776.06	902,761.72	-	902,761.72
Total, Local Revenues		758,425.70	-	758,425.70	504,776.06	-	504,776.06	902,761.72	-	902,761.72
5. TOTAL REVENUES										
		3,611,266.49	209,943.80	3,821,210.29	1,077,982.06	41,266.00	1,119,248.06	3,773,832.32	211,786.02	3,985,618.34
<b>B. EXPENDITURES</b>										
1. Certificated Salaries										
Certificated Teachers' Salaries	1100	1,177,483.91	137,506.19	1,314,990.10	277,392.24	38,294.22	315,686.46	1,231,155.38	120,361.16	1,351,516.54
Certificated Pupil Support Salaries	1200	-	-	-	-	-	-	-	-	-
Certificated Supervisors' and Administrators' Salaries	1300	255,976.05	-	255,976.05	83,939.58	-	83,939.58	270,146.05	-	270,146.05
Other Certificated Salaries	1900	-	-	-	-	-	-	-	-	-
Total, Certificated Salaries		1,433,459.96	137,506.19	1,570,966.15	361,331.82	38,294.22	399,626.04	1,501,301.43	120,361.16	1,621,662.59
2. Non-certificated Salaries										
Non-certificated Instructional Aides' Salaries	2100	191,901.00	-	191,901.00	41,284.46	21,953.48	63,237.94	200,514.20	50,000.00	250,514.20
Non-certificated Support Salaries	2200	-	-	-	-	-	-	-	-	-
Non-certificated Supervisors' and Administrators' Sal.	2300	151,062.60	-	151,062.60	36,681.05	-	36,681.05	160,710.00	-	160,710.00
Clerical and Office Salaries	2400	-	-	-	-	-	-	-	-	-
Other Non-certificated Salaries	2900	159,569.46	-	159,569.46	31,917.11	-	31,917.11	157,321.06	-	157,321.06
Total, Non-certificated Salaries		502,533.06	-	502,533.06	109,882.62	21,953.48	131,836.10	518,545.26	50,000.00	568,545.26
3. Employee Benefits										
STRS	3101-3102	137,457.70	9,763.10	147,220.80	44,918.56	2,033.52	46,952.08	148,482.14	12,523.52	161,005.66
PERS	3201-3202	-	-	-	-	-	-	-	-	-
OASDI / Medicare / Alternative	3301-3302	80,665.20	5,729.34	86,394.54	16,103.77	903.93	17,007.70	81,662.25	6,887.69	88,549.94
Health and Welfare Benefits	3401-3402	131,508.72	9,340.56	140,849.28	29,044.93	5,975.81	35,020.74	122,021.25	10,291.71	132,312.96
Unemployment Insurance	3501-3502	21,323.29	1,514.51	22,837.80	5,484.70	251.62	5,736.32	23,859.48	2,012.39	25,871.88
Workers' Compensation Insurance	3601-3602	29,039.90	2,062.59	31,102.49	7,092.75	2,000.00	9,092.75	30,297.70	2,555.42	32,853.12
OPEB, Allocated	3701-3702	-	-	-	-	-	-	-	-	-
OPEB, Active Employees	3751-3752	-	-	-	-	-	-	-	-	-
PERS Reduction (for revenue limit funded schools)	3801-3802	-	-	-	-	-	-	-	-	-
Other Employee Benefits	3901-3902	387.20	27.50	414.70	-	-	-	382.72	32.28	415.00
Total, Employee Benefits		400,382.00	28,437.61	428,819.60	102,644.71	11,164.88	113,809.59	406,705.54	34,303.01	441,008.55
4. Books and Supplies										
Approved Textbooks and Core Curricula Materials	4100	70,800.00	-	70,800.00	88,710.36	-	88,710.36	102,800.00	-	102,800.00
Books and Other Reference Materials	4200	-	-	-	-	-	-	-	-	-
Materials and Supplies	4300	58,181.10	-	58,181.10	25,802.15	-	25,802.15	58,261.24	-	58,261.24
Noncapitalized Equipment	4400	28,284.53	-	28,284.53	12,740.49	-	12,740.49	43,893.09	-	43,893.09
Food	4700	103,763.38	-	103,763.38	18,467.11	-	18,467.11	103,763.38	-	103,763.38
Total, Books and Supplies		261,029.01	-	261,029.01	145,720.11	-	145,720.11	308,717.71	-	308,717.71
5. Services and Other Operating Expenditures										
Subagreements for Services	5100	-	-	-	-	-	-	-	-	-
Travel and Conferences	5200	8,248.24	-	8,248.24	-	-	-	8,248.00	-	8,248.00
Dues and Memberships	5300	3,776.80	-	3,776.80	-	-	-	3,787.41	-	3,787.41
Insurance	5400	28,941.03	-	28,941.03	19,166.28	-	19,166.28	29,022.32	-	29,022.32
Operations and Housekeeping Services	5500	69,556.64	-	69,556.64	20,590.35	-	20,590.35	69,556.64	-	69,556.64
Rentals, Leases, Repairs, and Noncap. Improvements	5600	217,205.25	-	217,205.25	83,388.40	-	83,388.40	223,565.25	-	223,565.25
Professional/Consulting Services and Operating Expend.	5800	601,543.00	44,000.00	645,543.00	197,031.49	2,928.50	199,959.99	601,976.69	7,121.85	609,098.53
Communications	5900	11,676.08	-	11,676.08	4,908.36	-	4,908.36	11,677.11	-	11,677.11
Total, Services and Other Operating Expenditures		940,947.04	44,000.00	984,947.04	325,084.88	2,928.50	328,013.38	947,833.42	7,121.85	954,955.27

**CHARTER SCHOOL  
INTERIM FINANCIAL REPORT - ALTERNATIVE FORM  
First Interim Report - Detail**

Charter School Name: Yu Ming Charter School  
(continued)  
CDS #: 01 10017 0124172  
Charter Approving Entity: ACOE  
County: Alameda  
Charter #: 1296  
Fiscal Year: 2016/17

This charter school uses the following basis of accounting:

- Accrual Basis** (Applicable Capital Assets / Interest on Long-Term Debt / Long-Term Liabilities objects are 6900, 7438, 9400-9499, and 9660-9669)  
 **Modified Accrual Basis** (Applicable Capital Outlay / Debt Service objects are 6100-6170, 6200-6500, 7438, and 7439)

Description	Object Code	Adopted Budget - July 1			Actuals thru 10/31			1st Interim Budget		
		Unrestricted	Restricted	Total	Unrestricted	Restricted	Total	Unrestricted	Restricted	Total
<b>6. Capital Outlay</b> (Objects 6100-6170, 6200-6500 for modified accrual basis only)										
Land and Land Improvements	6100-6170			-			-			-
Buildings and Improvements of Buildings	6200			-			-			-
Books and Media for New School Libraries or Major Expansion of School Libraries	6300			-			-			-
Equipment	6400			-			-			-
Equipment Replacement	6500			-			-			-
Depreciation Expense (for accrual basis only)	6900			-			-			-
Total, Capital Outlay		-	-	-	-	-	-	-	-	-
<b>7. Other Outgo</b>										
Tuition to Other Schools	7110-7143			-			-			-
Transfers of Pass-through Revenues to Other LEAs	7211-7213			-			-			-
Transfers of Apportionments to Other LEAs - Spec. Ed.	7221-7223SE			-			-			-
Transfers of Apportionments to Other LEAs - All Other	7221-7223AO			-			-			-
All Other Transfers	7281-7299			-			-			-
Debt Service:										
Interest	7438			-			-			-
Principal (for modified accrual basis only)	7439			-			-			-
Total, Other Outgo		-	-	-	-	-	-	-	-	-
<b>8. TOTAL EXPENDITURES</b>		3,538,351.07	209,943.80	3,748,294.87	1,044,664.14	74,341.08	1,119,005.22	3,683,103.37	211,786.02	3,894,889.38
<b>C. EXCESS (DEFICIENCY) OF REVENUES OVER EXPEND. BEFORE OTHER FINANCING SOURCES AND USES (A5-B8)</b>		72,915.42	-	72,915.42	33,317.92	(33,075.08)	242.84	90,728.96	(0.00)	90,728.96
<b>D. OTHER FINANCING SOURCES / USES</b>										
1. Other Sources	8930-8979			-			-			-
2. Less: Other Uses	7630-7699			-			-			-
3. Contributions Between Unrestricted and Restricted Accounts (must net to zero)	8980-8999			-			-			-
<b>4. TOTAL OTHER FINANCING SOURCES / USES</b>		-	-	-	-	-	-	-	-	-
<b>E. NET INCREASE (DECREASE) IN FUND BALANCE (C + D4)</b>		72,915.42	-	72,915.42	33,317.92	(33,075.08)	242.84	90,728.96	(0.00)	90,728.96
<b>F. FUND BALANCE, RESERVES</b>										
1. Beginning Fund Balance										
a. As of July 1	9791	567,422.81	50,269.00	617,691.81	799,931.70	50,269.00	850,200.70	799,931.70	50,269.00	850,200.70
b. Adjustments to Beginning Balance	9793, 9795			-			-			-
c. Adjusted Beginning Balance		567,422.81	50,269.00	617,691.81	799,931.70	50,269.00	850,200.70	799,931.70	50,269.00	850,200.70
2. Ending Fund Balance, June 30 (E + F.1.c.)		640,338.23	50,269.00	690,607.23	833,249.62	17,193.92	850,443.54	890,660.66	50,269.00	940,929.66
Components of Ending Fund Balance :										
a. Nonspendable										
Revolving Cash (equals object 9130)	9711			-			-			-
Stores (equals object 9320)	9712			-			-			-
Prepaid Expenditures (equals object 9330)	9713			-			-			-
All Others	9719			-			-			-
b. Restricted	9740			-			-	50,269.00		50,269.00
c. Committed										
Stabilization Arrangements	9750			-			-			-
Other Commitments	9760			-			-			-
d. Assigned										
Other Assignments	9780			-			-			-
e. Unassigned/Unappropriated										
Reserve for Economic Uncertainties	9789	112,448.85		112,448.85			-	116,846.68		116,846.68
Unassigned/Unappropriated Amount	9790	527,889.38	50,269.00	578,158.38	833,249.62	17,193.92	850,443.54	773,813.97	-	773,813.97

**CHARTER SCHOOL  
MULTI-YEAR PROJECTION - ALTERNATIVE FORM  
First Interim Report - MYP**

Charter School Name: Yu Ming Charter School  
(continued) \_\_\_\_\_  
CDS #: 01 10017 0124172  
Charter Approving Entity: ACOE  
County: Alameda  
Charter #: 1296  
Fiscal Year: 2016/17

This charter school uses the following basis of accounting:

- Accrual Basis** (Applicable Capital Assets / Interest on Long-Term Debt / Long-Term Liabilities objects are 6900, 7438, 9400-9499, and 9660-9669)  
 **Modified Accrual Basis** (Applicable Capital Outlay / Debt Service objects are 6100-6170, 6200-6500, 7438, and 7439)

Description	Object Code	FY 2016/17			Totals for 2017/18	Totals for 2018/19
		Unrestricted	Restricted	Total		
<b>A. REVENUES</b>						
<b>1. LCFF/Revenue Limit Sources</b>						
State Aid - Current Year	8011	2,179,198.99	0.00	2,179,198.99	2,620,518.96	3,278,707.88
Education Protection Account State Aid - Current Year	8012	440,019.01	0.00	440,019.01	462,390.05	249,737.12
Charter Schools Gen. Purpose Entitlement - State Aid	8015	0.00	0.00	0.00		
State Aid - Prior Years	8019	0.00	0.00	0.00	0.00	0.00
Tax Relief Subventions	8020-8039	0.00	0.00	0.00		
County and District Taxes	8040-8079	0.00	0.00	0.00		
Miscellaneous Funds	8080-8089	0.00	0.00	0.00		
LCFF/Revenue Limit Transfers:						
PERS Reduction Transfer	8092	0.00	0.00	0.00		
Charter Schools Funding in lieu of Property Taxes	8096	0.00	0.00	0.00		
Other LCFF/Revenue Limit Transfers	8091, 8097	0.00	0.00	0.00		
Total, LCFF/Revenue Limit Sources		2,619,218.00	0.00	2,619,218.00	3,082,909.00	3,528,445.00
<b>2. Federal Revenues</b>						
No Child Left Behind	8290	0.00	0.00	0.00		
Special Education - Federal	8181, 8182	0.00	38,500.00	38,500.00	45,120.34	52,814.00
Child Nutrition - Federal	8220	0.00	0.00	0.00		
Donated Food Commodities	8221	0.00	0.00	0.00		
Other Federal Revenues	8110, 8260-8299	0.00	0.00	0.00		
Total, Federal Revenues		0.00	38,500.00	38,500.00	45,120.34	52,814.00
<b>3. Other State Revenues</b>						
Charter Schools Categorical Block Grant	N/A thru 14/15					
Special Education - State	StateRevSE	0.00	173,286.02	173,286.02	197,930.50	223,523.14
All Other State Revenues	StateRevAO	251,852.60	0.00	251,852.60	201,612.87	217,732.83
Total, Other State Revenues		251,852.60	173,286.02	425,138.62	399,543.37	441,255.97
<b>4. Other Local Revenues</b>						
All Other Local Revenues	LocalRevAO	902,761.72	0.00	902,761.72	719,134.55	780,961.31
Total, Local Revenues		902,761.72	0.00	902,761.72	719,134.55	780,961.31
<b>5. TOTAL REVENUES</b>						
		3,773,832.32	211,786.02	3,985,618.34	4,246,707.26	4,803,476.27
<b>B. EXPENDITURES</b>						
<b>1. Certificated Salaries</b>						
Certificated Teachers' Salaries	1100	1,231,155.38	120,361.16	1,351,516.54	1,408,191.14	1,555,176.87
Certificated Pupil Support Salaries	1200	0.00	0.00	0.00	-	0.00
Certificated Supervisors' and Administrators' Salaries	1300	270,146.05	0.00	270,146.05	307,622.89	316,851.58
Other Certificated Salaries	1900	0.00	0.00	0.00	-	0.00
Total, Certificated Salaries		1,501,301.43	120,361.16	1,621,662.59	1,715,814.03	1,872,028.45
<b>2. Non-certificated Salaries</b>						
Non-certificated Instructional Aides' Salaries	2100	200,514.20	50,000.00	250,514.20	304,304.63	366,403.76
Non-certificated Support Salaries	2200	0.00	0.00	0.00	0.00	0.00
Non-certificated Supervisors' and Administrators' Sal.	2300	160,710.00	0.00	160,710.00	165,531.30	170,497.24
Clerical and Office Salaries	2400	0.00	0.00	0.00	0.00	0.00
Other Non-certificated Salaries	2900	157,321.06	0.00	157,321.06	162,040.69	166,901.91
Total, Non-certificated Salaries		518,545.26	50,000.00	568,545.26	631,876.62	703,802.92

**CHARTER SCHOOL  
MULTI-YEAR PROJECTION - ALTERNATIVE FORM  
First Interim Report - MYP**

Charter School Name: Yu Ming Charter School  
(continued) \_\_\_\_\_  
CDS #: 01 10017 0124172  
Charter Approving Entity: ACOE  
County: Alameda  
Charter #: 1296  
Fiscal Year: 2016/17

Description	Object Code	FY 2016/17			Totals for 2017/18	Totals for 2018/19
		Unrestricted	Restricted	Total		
<b>3. Employee Benefits</b>						
STRS	3101-3102	148,482.14	12,523.52	161,005.66	197,731.02	246,837.47
PERS	3201-3202	0.00	0.00	0.00	-	0.00
OASDI / Medicare / Alternative	3301-3302	81,662.25	6,887.69	88,549.94	98,139.60	106,653.16
Health and Welfare Benefits	3401-3402	122,021.25	10,291.71	132,312.96	170,299.58	216,329.13
Unemployment Insurance	3501-3502	23,859.48	2,012.39	25,871.88	27,072.50	28,262.50
Workers' Compensation Insurance	3601-3602	30,297.70	2,555.42	32,853.12	35,215.36	38,637.47
OPEB, Allocated	3701-3702	0.00	0.00	0.00	0.00	0.00
OPEB, Active Employees	3751-3752	0.00	0.00	0.00		
PERS Reduction (for revenue limit funded schools)	3801-3802	0.00	0.00	0.00	0.00	0.00
Other Employee Benefits	3901-3902	382.72	32.28	415.00	0.00	0.00
Total, Employee Benefits		406,705.54	34,303.01	441,008.55	528,458.07	636,719.73
<b>4. Books and Supplies</b>						
Approved Textbooks and Core Curricula Materials	4100	102,800.00	0.00	102,800.00	70,000.00	72,100.00
Books and Other Reference Materials	4200	0.00	0.00	0.00	0.00	0.00
Materials and Supplies	4300	58,261.24	0.00	58,261.24	64,059.63	70,132.29
Noncapitalized Equipment	4400	43,893.09	0.00	43,893.09	45,601.28	47,372.46
Food	4700	103,763.38	0.00	103,763.38	111,294.20	124,537.71
Total, Books and Supplies		308,717.71	0.00	308,717.71	290,955.11	314,142.46
<b>5. Services and Other Operating Expenditures</b>						
Subagreements for Services	5100	0.00	0.00	0.00	0.00	0.00
Travel and Conferences	5200	8,248.00	0.00	8,248.00	8,495.44	8,750.30
Dues and Memberships	5300	3,787.41	0.00	3,787.41	4,458.33	5,188.60
Insurance	5400	29,022.32	0.00	29,022.32	34,163.42	39,759.35
Operations and Housekeeping Services	5500	69,556.64	0.00	69,556.64	71,643.34	73,792.64
Rentals, Leases, Repairs, and Noncap. Improvements	5600	223,565.25	0.00	223,565.25	229,066.06	234,731.89
Professional/Consulting Services and Operating Expend.	5800	601,976.69	7,121.85	609,098.53	660,396.35	725,263.50
Communications	5900	11,677.11	0.00	11,677.11	12,081.53	12,501.89
Total, Services and Other Operating Expenditures		947,833.42	7,121.85	954,955.27	1,020,304.46	1,099,988.17
<b>6. Capital Outlay (Obj. 6100-6170, 6200-6500 for mod. accr. basis only)</b>						
Land and Land Improvements	6100-6170	0.00	0.00	0.00		
Buildings and Improvements of Buildings	6200	0.00	0.00	0.00		
Books and Media for New School Libraries or Major Expansion of School Libraries	6300	0.00	0.00	0.00		
Equipment	6400	0.00	0.00	0.00		
Equipment Replacement	6500	0.00	0.00	0.00		
Depreciation Expense (for accrual basis only)	6900	0.00	0.00	0.00		
Total, Capital Outlay		0.00	0.00	0.00	0.00	0.00
<b>7. Other Outgo</b>						
Tuition to Other Schools	7110-7143	0.00	0.00	0.00		
Transfers of Pass-through Revenues to Other LEAs	7211-7213	0.00	0.00	0.00		
Transfers of Apportionments to Other LEAs - Spec. Ed.	7221-7223SE	0.00	0.00	0.00		
Transfers of Apportionments to Other LEAs - All Other	7221-7223AO	0.00	0.00	0.00		
All Other Transfers	7280-7299	0.00	0.00	0.00		
Debt Service:						
Interest	7438	0.00	0.00	0.00		
Principal (for modified accrual basis only)	7439	0.00	0.00	0.00		
Total, Other Outgo		0.00	0.00	0.00	0.00	0.00
<b>8. TOTAL EXPENDITURES</b>		3,683,103.37	211,786.02	3,894,889.38	4,187,408.28	4,626,681.73
<b>C. EXCESS (DEFICIENCY) OF REVENUES OVER EXPEND. BEFORE OTHER FINANCING SOURCES AND USES (A5-B8)</b>		90,728.96	(0.00)	90,728.96	59,298.97	176,794.55

**CHARTER SCHOOL  
MULTI-YEAR PROJECTION - ALTERNATIVE FORM  
First Interim Report - MYP**

Charter School Name: Yu Ming Charter School  
 (continued) \_\_\_\_\_  
 CDS #: 01 10017 0124172  
 Charter Approving Entity: ACOE  
 County: Alameda  
 Charter #: 1296  
 Fiscal Year: 2016/17

Description	Object Code	FY 2016/17			Totals for 2017/18	Totals for 2018/19
		Unrestricted	Restricted	Total		
<b>D. OTHER FINANCING SOURCES / USES</b>						
1. Other Sources	8930-8979	0.00	0.00	0.00		
2. Less: Other Uses	7630-7699	0.00	0.00	0.00		
3. Contributions Between Unrestricted and Restricted Accounts (must net to zero)	8980-8999	0.00	0.00	0.00		
4. TOTAL OTHER FINANCING SOURCES / USES		0.00	0.00	0.00	0.00	0.00
<b>E. NET INCREASE (DECREASE) IN FUND BALANCE (C + D4)</b>		90,728.96	(0.00)	90,728.96	59,298.97	176,794.55
<b>F. FUND BALANCE, RESERVES</b>						
1. Beginning Fund Balance						
a. As of July 1	9791	799,931.70	50,269.00	850,200.70	940,929.66	1,000,228.63
b. Adjustments to Beginning Balance	9793, 9795	0.00	0.00	0.00		
c. Adjusted Beginning Balance		799,931.70	50,269.00	850,200.70	940,929.66	1,000,228.63
2. Ending Fund Balance, June 30 (E + F.1.c.)		890,660.66	50,269.00	940,929.66	1,000,228.63	1,177,023.18
Components of Ending Fund Balance:						
a. Nonspendable						
Revolving Cash (equals object 9130)	9711	0.00	0.00	0.00		
Stores (equals object 9320)	9712	0.00	0.00	0.00		
Prepaid Expenditures (equals object 9330)	9713	0.00	0.00	0.00		
All Others	9719	0.00	0.00	0.00		
b. Restricted	9740	0.00	50,269.00	50,269.00		
c. Committed						
Stabilization Arrangements	9750	0.00	0.00	0.00		
Other Commitments	9760	0.00	0.00	0.00		
d. Assigned						
Other Assignments	9780	0.00	0.00	0.00		
e. Unassigned/Unappropriated						
Reserve for Economic Uncertainties	9789	116,846.68	0.00	116,846.68	125,622.25	138,800.45
Unassigned/Unappropriated Amount	9790	773,813.97	0.00	773,813.97	874,606.38	1,038,222.72

**Yu Ming Charter School**  
**RESOLUTION REGARDING THE EDUCATION PROTECTION ACCOUNT**

WHEREAS, the voters approved Proposition 30 on November 6, 2012;

WHEREAS, Proposition 30 added Article XIII, Section 36 to the California Constitution effective November 7, 2012;

WHEREAS, the provisions of Article XIII, Section 36(e) create in the state General Fund an Education Protection Account to receive and disburse the revenues derived from the incremental increases in taxes imposed by Article XIII, Section 36(f);

WHEREAS, before June 30<sup>th</sup> of each year, the Director of Finance shall estimate the total amount of additional revenues, less refunds that will be derived from the incremental increases in tax rates made pursuant to Article XIII, Section 36(f) that will be available for transfer into the Education Protection Account during the next fiscal year;

WHEREAS, if the sum determined by the State Controller is positive, the State Controller shall transfer the amount calculated into the Education Protection Account within ten days preceding the end of the fiscal year;

WHEREAS, all monies in the Education Protection Account are hereby continuously appropriated for the support of school districts, county offices of education, charter schools and community college districts;

WHEREAS, monies deposited in the Education Protection Account shall not be used to pay any costs incurred by the Legislature, the Governor or any agency of state government;



WHEREAS, a community college district, county office of education, school district, or charter school shall have the sole authority to determine how the monies received from the Education Protection Account are spent in the school or schools within its jurisdiction;

WHEREAS, the governing board of the Yu Ming Charter School shall make the spending determinations with respect to monies received from the Education Protection Account in open session of a public meeting of the governing board;

WHEREAS, the monies received from the Education Protection Account shall not be used for salaries or benefits for administrators or any other administrative cost;

WHEREAS, each community college district, county office of education, school district and charter school shall annually publish on its Internet website an accounting of how much money was received from the Education Protection Account and how that money was spent;

WHEREAS, the annual independent financial and compliance audit required of community college districts, county offices of education, school districts and charter schools shall ascertain and verify whether the funds provided from the Education Protection Account have been properly disbursed and expended as required by Article XIII, Section 36 of the California Constitution;

WHEREAS, expenses incurred by community college districts, county offices of education, school districts and charter schools to comply with the additional audit requirements of Article XIII, Section 36 may be paid with funding from the Education Protection Act and shall not be considered administrative costs for purposes of Article XIII, Section 36.

NOW, THEREFORE, IT IS HEREBY RESOLVED:

1. The monies received from the Education Protection Account shall be spent as required by Article XIII, Section 36 and the spending determinations on how the money will be spent shall be made in open session of a public meeting of the governing board of Yu Ming Charter School;

2. In compliance with Article XIII, Section 36(e), with the California Constitution, the governing board of Yu Ming Charter School has determined to spend the monies received from the Education Protection Act as attached.

DATED: December 12, 2016

\_\_\_\_\_  
Board Chair

ATTEST:

\_\_\_\_\_  
Board Secretary

**Yu Ming Charter School Education Protection Account (EPA) Spending Determination\***

**Estimated Expenditures July 1, 2016 - June 30, 2017**

Education Protection Account (Object Code 8012, Resource Code 1400-0)

	<b>Object Codes</b>	<b>YMCS</b>
<b>Amount Available for this Fiscal Year</b>		
Education Protection Account	8012	\$440,019
<b>Expenditures</b>		
Certificated Salaries	1000s	
Teacher Salaries	1100	\$440,019
Administrator Salaries	1300	\$0
Classified Salaries	2000s	\$0
Employee Benefits	3000s	\$0
Books and Supplies	4000s	\$0
Services and Other Operating Expenses	5000s	\$0
Capital Outlay	6000s	\$0
<b>Total Expenditures</b>		<b>\$440,019</b>

\*Estimated EPA Spending based on latest FCMAT calculation

Actual

amount and expenses may be different than stated. Per Proposition 30, EPA funds may not be used for salaries or benefits of administrators or any other administrative costs.



**Board of Directors**  
**December 12, 2016**  
**AGENDA ITEM INFORMATION**

<b>Agenda Item</b>	Officer Elections
<b>Time Allotted</b>	15 minutes
<b>Background</b>	Yu Ming's four officer positions have terms that follow the calendar year, commencing on January 1 of each year, and are open to any board member who will remain on the board for the entire calendar year.
<b>Summary</b>	<p>The following are the officer positions:</p> <ul style="list-style-type: none"><li>● Chair</li><li>● Vice Chair</li><li>● Secretary</li><li>● Treasurer</li></ul> <p>The following board members are serving terms that continue throughout calendar year 2016:</p> <ul style="list-style-type: none"><li>● Phuoc Le</li><li>● Diana Lee</li><li>● Matt Miller</li><li>● Jessica Norman</li><li>● Eric Peterson*</li><li>● Thompson Paine</li><li>● Rodrigo Prudencio*</li><li>● Brianna Swartz</li><li>● John Wharton</li></ul> <p>*parent elected seat</p>
<b>Type</b>	Discussion and Vote
<b>Key Questions</b>	Who will fill each of the four officer positions for 2016?



RESOLUTION #: \_\_\_\_\_

RESOLUTION OF THE BOARD OF DIRECTORS OF YU MING CHARTER SCHOOL TO APPOINT OFFICERS

WHEREAS, the Yu Ming Charter School bylaws state that the officers of this corporation shall be chosen annually by the Board of Directors and shall serve at the pleasure of the Board;

WHEREAS, the officer positions of Chair, Vice Chair, Secretary and Treasurer need to be appointed for the remainder of calendar year 2016;

NOW, THEREFORE, BE IT RESOLVED, that the Board determined by majority vote of the Board, in the presence of quorum, that the following directors be appointed to the four officer positions:

- Chair: \_\_\_\_\_Eric Petersen\_\_\_\_\_
- Vice Chair: \_\_\_Brianna Swartz\_\_\_\_\_
- Secretary: \_\_\_Thompson Paine\_\_\_
- Treasurer: \_\_\_Jessica Norman\_\_\_\_\_

I, Thompson Paine, do hereby certify that the foregoing is a true and correct copy of the resolution adopted by the Board of Directors of Yu Ming Charter School at meeting thereof duly called and noticed and held on January 21st, 2016, and that it was so adopted by the following vote:

AYES:

NOES:

ABSENT:

ABSTENTIONS:

Date: \_\_\_\_\_ By: \_\_\_\_\_

Thompson Paine, Secretary



**Board of Directors  
December 8, 2016  
AGENDA ITEM INFORMATION**

<b>Agenda Item</b>	Julie Mikuta, Candidate for Open Board Position
<b>Time Allotted</b>	10 minutes
<b>Background</b>	<p>Yu Ming's volunteer Board of Directors regularly seeks new members to strengthen its professional capabilities and add to the diversity of experience, backgrounds and cultural connections to build a strong institutional board.</p> <p>Currently at 10 members, Yu Ming's by-laws were recently amended to permit the expansion of the Board to up to 13 members (not including a permanent place on the board for a representative of the Alameda County Office of Education).</p> <p>Two of Yu Ming's board seats are set aside for parent-elected board members, and a third non-founding Yu Ming parent may be appointed to the board at the discretion of the directors.</p> <p>In the case that an existing board member becomes a parent board member because their child has gained admission through the lottery process, the board bylaws (Article VII, Sec. 3) stipulate that the board member would serve out the term but not be eligible for a renewed term.</p>
<b>Summary</b>	<p>Ms. Julie Mikuta is experienced in school governance, professional development and charter school advocacy. Julie has served as an elected board member on the Washington DC Board of Education and has served for Teach for America. Bio attached.</p> <p>Julie also happens to be the parent of a current Yu Ming fifth grader. If appointed, she would bring a depth of experience to the board.</p> <p>Julie has met with members Prudencio, Lee, Peterson, Le, Swartz, and with Principal Park. Additional conversations are being arranged with other board members.</p>
<b>Type</b>	Vote
<b>Key Questions</b>	<p>What questions do you have about Julie's qualifications and interest?</p> <p>What happens in the case that an existing board member's child matriculates at Yu Ming and the number of parent board members exceeds three?</p>



**Julie is Senior Director of Education at the Charles & Lynn Schusterman Family Foundation. Prior to joining the Schusterman Foundation, Julie was a Partner at NewSchools Venture Fund, focused on teacher preparation. Previously, she led trainings for school board and superintendent-teams of large urban districts at the Center for Reform of School Systems. Julie served as an elected member of the D.C. Board of Education from 2001 until 2004. During her time on the School Board, she was Vice President of Alumni Affairs for Teach For America. Julie began her career in education teaching high school science in New Orleans through Teach For America. Julie graduated from Georgetown University, where she was captain of the women's basketball team, and did a doctorate at Oxford University, as a Rhodes Scholar. Julie is on the boards of Stand for Children, Urban Teacher Center, New Teacher Center and Relay Graduate School of Education, and on the Advisory Board of Teaching Works at the University of Michigan. Julie lives in Oakland with her nine year old daughter who attends a Mandarin immersion charter school.**